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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/619,707	07/15/2003	Un-Chul Park	5000-1-191DIV	7100
33942	7590	07/06/2006	EXAMINER	
CHA & REITER, LLC			LOPEZ, CARLOS N	
210 ROUTE 4 EAST STE 103			ART UNIT	
PARAMUS, NJ 07652			PAPER NUMBER	

1731

DATE MAILED: 07/06/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

## Office Action Summary

Application No.

10/619,707

Applicant(s)

PAEK ET AL.

Examiner

Carlos Lopez

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 27 April 2006.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 5-8 and 10 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 5-8 and 10 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
  - ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- |  |   |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892)   | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)                                   | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152)             |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)<br>Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____  |

## **DETAILED ACTION**

### ***Claim Rejections - 35 USC § 103***

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

Claims 5-8 and 10 are rejected under 35 U.S.C. 103(a) as being unpatentable over Oh et al (GB 2314077), for which US 6,519,974, its equivalent, will be referenced. Oh et al discloses an apparatus for fabricating an optical fiber. The claimed preform cover sealing an end of a preform is deemed as Oh's cover 47 covering the upper portion of preform 46, see figure 5. As noted by Oh in Col. 6, lines 1-7, the cover 47 is coupled to a preform end in a sealed manner. The claimed gas supplier is deemed as Oh's nitrogen gas supply 48, see figure 5, which when Oh et al's glass preform is substituted with a holey optical fiber preform would be capable of preventing the inner holes from being distorted by providing nitrogen gas via cover 47. The claimed heating means installed at the other end of the preform for heating the preform to draw an optical fiber is deemed as Oh's furnace 28.

Oh notes that the flow of gas through channel 56 of the preform cover 47, generates a reduced pressure condition that partially evacuates the space between the over cladding tube 44 and the preform 46 (Col. 7, lines 23-27). The flow rate through the channel 56 will determine the extent to which the gas pressure in the space is reduced

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and thus control of the flow rate from nitrogen gas supply 48 will control the pressure in the space (Col. 7, lines 27-31). This arrangement provides a simple and finely adjustable means to apply reduce pressure in the space between the cladding tube and preform (Col. 7, lines 38-41). Since Oh's reducing pressure is finely adjustable it clearly indicates that the flow of the nitrogen gas, and consequently its pressure, is finely regulated. This clearly shows that a regulator for the nitrogen gas flow, or a pressure regulator as claimed by applicant, is inherently present in Oh's apparatus.

It is noted that Oh's disclosure does not limit its use to any particular type of preform. Thus Oh's device is capable of being used to draw a holey optical fiber preform. In fact as noted in MPEP 2115 [R-2], "Expressions relating the apparatus to contents thereof during an intended operation are of no significance in determining patentability of the apparatus claim." Ex parte Thibault, 164 USPQ 666, 667 (Bd. App. 1969). Furthermore, "[i]nclusion of material or article worked upon by a structure being claimed does not impart patentability to the claims." In re Young, 75 F.2d 996, 25 USPQ 69 (CCPA 1935) (as restated in In re Otto, 312 F.2d 937, 136 USPQ 458, 459 (CCPA 1963))." Thus the claimed limitation that the fiber preform be a holey optical fiber preform having a plurality of air holes disposed in a vertical direction is deemed as being obvious to a person of ordinary skill in the art at the time the invention was made. Oh et al, in not specifying the type of optical preform it intends to manufacture, clearly envisages the use of any type of preform, which includes the claimed holey optical fiber preform known in the art.

A person of ordinary skill in the art in view of Oh et al's patent, would readily recognize that the apparatus of Oh et al can be used to manufacture holey optical fibers with reasonable expectation of success and no unexpected results.

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Hence applicant is merely substituting the optical fiber preform of Oh et al with a more specific known type of optical fiber preform; a substitution, that a person of ordinary skill in the would clearly envisage from the teachings of Oh et al.

Additionally, the intended use of the claimed invention must result in a structural difference between the claimed invention and the prior art in order to patentably distinguish the claimed invention from the prior art. If the prior art structure is capable of performing the intended use, then it meets the claim. In the instant case the apparatus of Oh is capable of performing the intended use.

As for claim 6, fixing rod 33, as shown in figure 5, is attached to the top of preform 46 and its capable of holding it in a stationary position.

As for claim 8, the claimed tubular preform, sealing means, storage means, regulating means and heating means is deemed respectively as the above noted preform, cover 47, gas supplier 48, the inherent pressure regulator and furnace 28 of Oh et al. Additionally, it is deemed that the inherent pressure regulator of Oh would supply a constant gas flow in order to maintain the above noted space between the over cladding tube and preform.

### ***Response to Arguments***

.Applicant's arguments filed 4/27/06 have been fully considered but they are not persuasive.

Applicant is arguing that the gas supplier 48 of Oh evacuates the optical fiber and removes air or gas from the optical fiber which does not show a "gas supplier for supplying gas into the air holes via the preform cover."

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The main issue is whether or not Oh meets the claimed function of supplying gas into the air holes of the holey optical fibers.

The Examiner has interpreted the above limitation as an intended use limitation providing no structural distinction from Oh.

As previously noted above, the use of the claimed invention **must result in a structural difference between the claimed invention and the prior art in order to patentably distinguish the claimed invention from the prior art**. If the prior art structure is capable of performing the intended use, then it meets the claim.

In the instant case the claim only requires a gas supplier or gas storage means, which as noted by Oh is met by element 48. The gas supplier 48 is deemed as capable of supplying gas in the manner claimed by applicant. The mere fact that gas supplier 48 contains gas therein, it can be expected to supply gas to any other source or for any other use.

Nor has applicant pointed out how the intended use limitation endows the claimed invention with structural features that are distinct from Oh.

### ***Conclusion***

**THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the

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shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Carlos Lopez whose telephone number is 571.272.1193. The examiner can normally be reached on Mon.-Fri. 8am - 5pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Steven Griffin can be reached on 571.272.1189. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

CL

  
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